Attorney Docket No.: 40018160.0064 Customer No.: 26263

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Yongsheng ZHAO, et al.

Group Art Unit: 2815 (Parent)

Divisional Application of U.S.

U.S. Application No.: 10/155,001

Examiner: Jerome Jackson, Jr. (Parent)

Confirmation No.: Not yet assigned

Filed: September 4, 2003

For: HIGH POWER, HIGH LUMINOUS FLUX LIGHT EMITTING DIODE AND METHOD OF

MAKING SAME

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO-1449 form, which are all the references of record in parent application No. 10/155,001. Applicants are not submitting duplicate copies of these references but request that they be listed on the face of any patent granted on the above application. (See 1138 TMOG 39, second column, last paragraph, last sentence.)

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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Substitute for form 1449A/PTO Complete if Known Application Number Not yet assigned INFORMATION DISCLOSURE Filing Date September 4, 2003 STATEMENT BY APPLICANT First Named Inventor Yongsheng Zhao, et al. Group Art Unit Not yet assigned (use as many sheets as necessary) **Examiner Name** Not yet assigned Sheet Attorney Docket Number 40018160.0064

U.S. PATENT DOCUMENTS							
Examiner Initials *	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	<del>                                     </del>	US - 5,698,865	12-16-1997	Gerner et al.			
		US - 6,307,218	10-23-2001	Steigerwald et al.			
	<b>†</b>	US - 6,130,446	10-2000	Takeuchi et al.			
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*		Foreign Patent Document			Pages, Columns, Lines, Where				
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Examiner Signature	Date Considered	į	

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional) . <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.
<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.